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PTO/SB/05 (4/98)  
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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. LSI-99-023

First Inventor or Application Identifier Daniel Watkins

Title Portable Digital Recorder

Express Mail Label No. EL209954328US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ \* Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Pages 34]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the invention
  - Brief Summary of the invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 7]
4. Oath or Declaration [Total Pages 2]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))  
(for continuation/divisional with Box 16 completed)
    - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting  
inventor(s) named in the prior application,  
see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

**NOTE FOR ITEMS 1 & 2: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY  
FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27). EXCEPT  
IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.29).**

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

5. ☐ Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

7. ☒ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. § 3.73(b) Statement ☒ Power of  
(when there is an assignee) Attorney
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure Statement (IDS/PTO-1449) ☐ Copies of IDS  
Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
13. ☐ Small Entity Statement(s) ☐ Statement filed in prior application,  
Status still proper and desired  
(PTO/SB/09-12)
14. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
15. ☒ Other: Transmittal Letter

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No: \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_

Group / Art Unit: \_\_\_\_\_

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 17. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

or ☐ Correspondence address below

(Insert Customer No. or Attach bar code label here)

Name	Sandeep Jaggi				
	LSI Logic Corporation				
Address	1551 McCarthy Boulevard				
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Name (Print/Type)	Gerhard W. Thielman	Registration No. (Attorney/Agent)	43,186
Signature		Date	11 Feb 00

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: ) Art Unit:  
 Daniel Watkins )  
 Serial No. [Not yet assigned] ) Examiner:  
 Filed: February 11, 2000 )  
 For: PORTABLE DIGITAL RECORDER )

**CERTIFICATE OF MAILING**

"Express Mail" mailing label no: EL209954328US

Date of Deposit: February 11, 2000

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR

1.10 on the date indicated above and is addressed to:

Box Patent Application  
 Assistant Commissioner for Patents  
 Washington, D.C. 20231

  
 Tara Hayden

**TRANSMITTAL LETTER**

Honorable Assistant Commissioner  
 for Patents  
 Box Patent Application  
 Washington, D.C. 20231

Dear Sir:

Enclosed for filing please find the patent application for an invention entitled, "PORTABLE DIGITAL RECORDER", filed on behalf of LSI Logic Corporation, assignee from inventor Daniel Watkins, including Utility Patent Application Transmittal, 22 pages of specification, 11 pages of claims, 7 sheets of drawing figures, and one page of Abstract. Also enclosed herewith are the Executed Assignment with cover page and Combined Declaration/Power of Attorney.

The attorney's Docket Number is LSI-99-023.

001120: 13020550

Kindly address all communications regarding this application to:

Sandeep Jaggi  
LSI Logic Corporation  
Patent Law Department  
1551 McCarthy Boulevard, M/S D-106  
Milpitas, CA 95035


A check in the amount of \$1,260.00 is enclosed for the filing fee for a large entity, calculated as follows:

Basic Filing Fee:	\$ 690.00
5 Additional Independent Claim(s):	\$ 390.00
10 <u>Multiple Dependent Claim(s):</u>	<u>\$ 180.00</u>
Total Filing Fee:	\$1,260.00

In the event any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, the Assistant Commissioner is hereby authorized to charge or credit the difference to our Deposit Account No. 04-0025. A duplicate of this page is enclosed.

Respectfully submitted,  
D'ALESSANDRO & RITCHIE

Dated: February 11, 2000

  
Gerhard W. Thielman  
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